

Response functions

9.01.2018

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We would like to be able to calculate much more than the specific heat and static conductivity. In general one can think of many interesting observables

- Electric polarizability
 - ↳ static + dynamic
- Magnetic polarizability
 - ↳ static + dynamic
- Conductivity
 - ↳ static + dynamic
- Reflectivity / Absorption (of photons)
- Compressibility / Strength / Stiffness

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any Hermitian operator you think of we are interested how a static change to the Hamiltonian changes the ground state (static response)

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i.e. $H \Rightarrow H + O$ and

$$H\phi = E\phi \rightarrow (H + O)\tilde{\phi} = \tilde{E}\tilde{\phi}$$

we want to know $\langle \tilde{\phi} | O | \tilde{\phi} \rangle$

or if I use operator O to make an excitation at time t and site x , how does this propagate.

and how does that relate to excitations in the frequency domain? i.e. $Oe^{i\omega t}$

For this we can use response theory and calculate the response functions from our one particle Green's functions ...

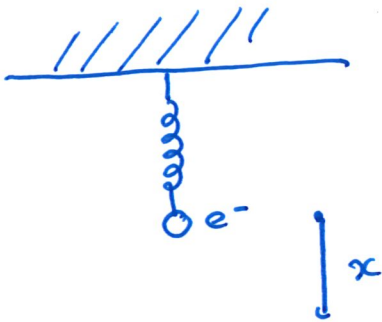
Today define classical response theory
on Friday the quantum equivalence

Response functions for classical systems

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behave the same as response functions for quantum systems so we can introduce them for classical systems.

Take as an example an harmonic oscillator
i.e. particle on a spring



$$F_{\text{spring}} = -kx$$

$$F_{\text{ext}} = F_0 \cos(\omega t)$$

we want to know what the position, velocity and acceleration is as a function of t if the system is driven with $F_{\text{ext}} = F_0 \cos(\omega t)$

note that

position $\propto e \Rightarrow$ dipole moment

velocity $\propto e \Rightarrow$ current

acceleration of charge \Rightarrow radiation

\Rightarrow diffraction

equation of motion:

$$m x''(t) = -k x(t) + F_0 \cos(\omega t)$$

Solution :

$$x(t) = -\frac{1}{m} \frac{1}{\omega^2 - \omega_0^2} F_0 \cos(\omega t) + c_1 \cos(\omega_0 t) + c_2 \sin(\omega_0 t)$$

$$\text{with } \omega_0 = \sqrt{\frac{k}{m}}$$

We can show that this is indeed a solution by substituting it back into the equation

$$m x''(t) = \omega^2 \frac{1}{\omega^2 - \omega_0^2} F_0 \cos(\omega t) - m \omega_0^2 c_1 \cos(\omega_0 t) - m \omega_0^2 c_2 \sin(\omega_0 t)$$

and

$$\begin{aligned} -k x(t) + F_0 \cos(\omega t) &= \frac{k}{m} \frac{1}{\omega^2 - \omega_0^2} F_0 \cos(\omega t) + F_0 \cos(\omega t) \\ &\quad - k c_1 \cos(\omega_0 t) \\ &\quad - k c_2 \sin(\omega_0 t) \end{aligned}$$

$$\omega_0^2 \frac{1}{\omega^2 - \omega_0^2} + \frac{\omega^2 - \omega_0^2}{\omega^2 - \omega_0^2} = \omega^2 \frac{1}{\omega^2 - \omega_0^2}$$

and

$$m\omega_0^2 = k$$

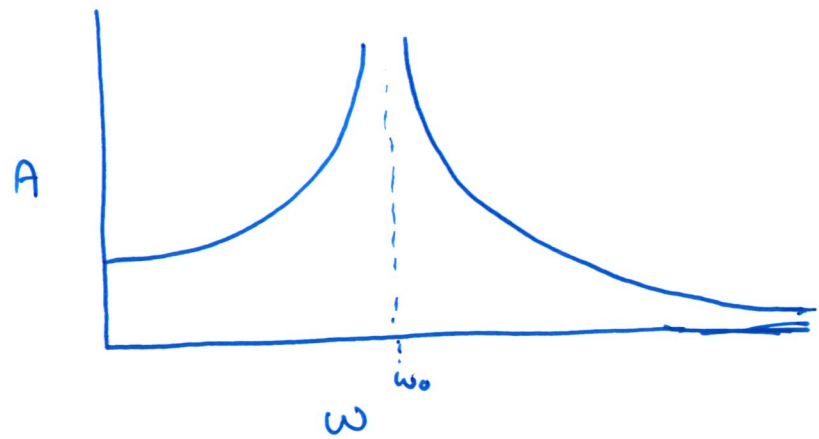
such that our proposed function is indeed a solution

the two terms with frequency ω_0 allow oscillation at the eigen frequency of the system and are not related to the driving force, i.e. not a response to our external action

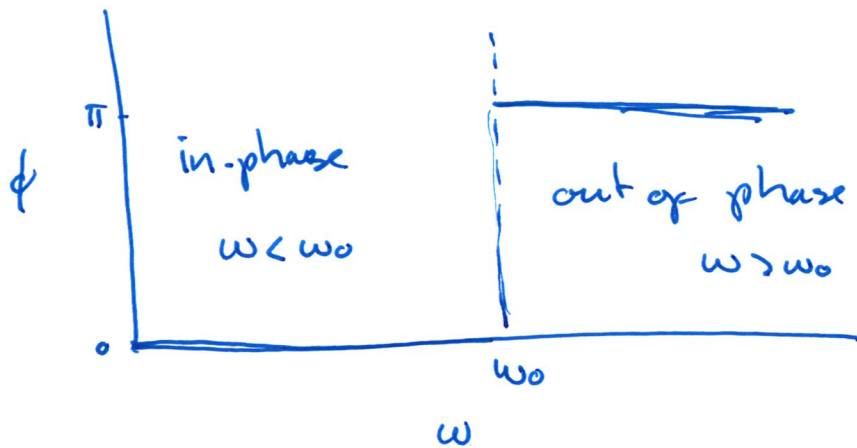
The term that describes the response to the external force yields

$$x(t) = -\frac{1}{m} \frac{1}{\omega^2 - \omega_0^2} F_{ext}(t)$$

the amplitude of the oscillation is $|\frac{1}{m} \frac{1}{\omega^2 - \omega_0^2}|$



the phase of the oscillator with respect to the driving force is 0 for $\omega < \omega_0$ and π for $\omega > \omega_0$ (12)



For a slowly oscillating force the particle follows the driving force.

For a fast oscillating force the particle can't follow and lags behind.

In order to discuss absorption we should look at the energy in the system

kinetic energy in the oscillator:

$$\frac{1}{2} m x'(t)^2 = \frac{F_0^2 \omega^2 \sin(\omega t)^2}{2m (\omega^2 - \omega_0^2)^2}$$

potential energy in the oscillator

$$\frac{1}{2} m x(t)^2 = \frac{F_0^2 \omega_0^2 \cos(\omega t)^2}{2m (\omega^2 - \omega_0^2)^2}$$

Total energy = $E_{pot} + E_{kin}$ oscillates between kinetic energy with prefactor ω^2 and potential energy with prefactor ω_0^2

The energy change of the oscillator is given by the field. The energy provided by the field, i.e. the power of the field is:

$$P = F x'(t) = \frac{F_0^2 \omega \sin(\omega t) \cos(\omega t)}{m (\omega^2 - \omega_0^2)}$$

$$= \frac{2}{2t} (E_{kin} + E_{pot})$$

(14)

The energy in the oscillator varies and is provided by the field, i.e. time dependent absorption and emission of photons; but:

$$\frac{\int_0^{2\pi/\omega} P dt}{\int_0^{2\pi/\omega} 1 dt} = 0$$

on average over one cycle there is no net absorption.

In order to describe friction we need to add damping which will lead to absorption (15)

Assume force due to friction proportional to velocity. The equation of motion then becomes:

$$m x''(t) = -k x(t) - m\gamma x'(t) + F_0 \cos(\omega t)$$

The choice to call the friction constant $m\gamma$ becomes clear later once we know the solution

We will solve this equation by making the force complex and only keeping the real part of the complex solution

$$F_0 \cos(\omega t) \Rightarrow F_0 \cos(\omega t) - i F_0 \sin(\omega t) = e^{-i\omega t}$$

For the solution we have that the real part of $x(t)$ is the position

The imaginary part is neglected

We find similar to the undamped case
3 terms in the solution:

$$\begin{aligned}
x(t) = & \frac{1}{m(\omega_0^2 - \omega^2 - i\gamma\omega)} e^{-i\omega t} F_0 \\
& + c_1 e^{-\gamma t/2} e^{-t\sqrt{(\gamma/2)^2 - \omega_0^2}} \\
& + c_2 e^{-\gamma t/2} e^{t\sqrt{(\gamma/2)^2 - \omega_0^2}}
\end{aligned}$$

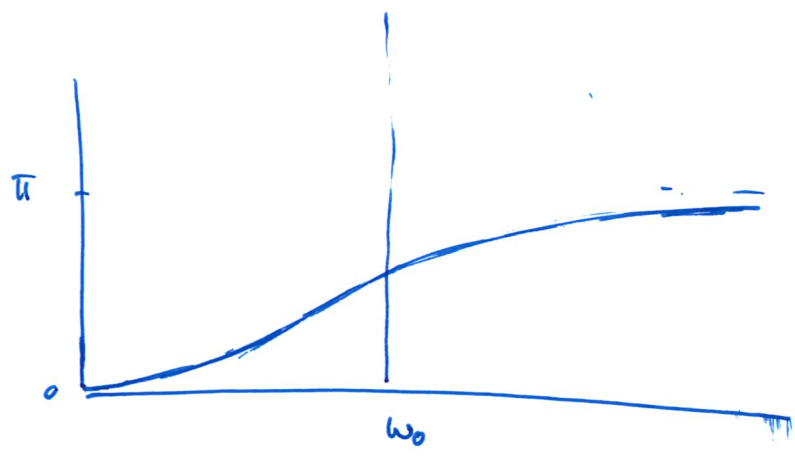
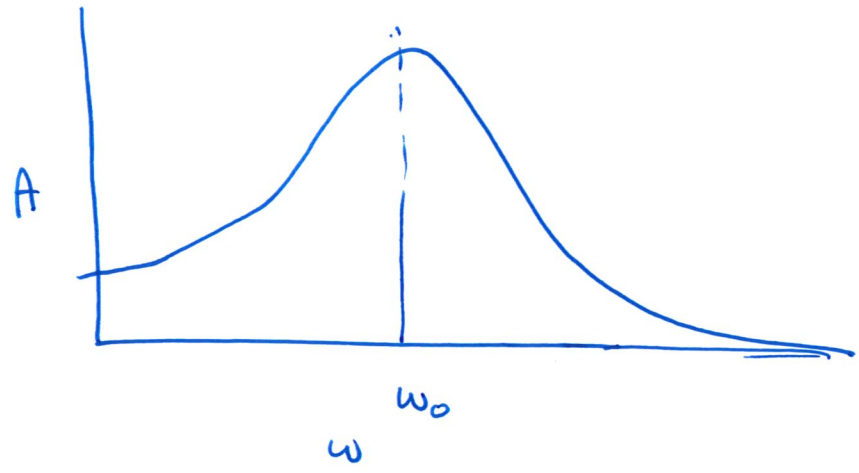
The terms with c_1 and c_2 exponentially decay.

If at some point in time the system oscillates at it's eigen frequency then this oscillation is damped. These two terms are not related to the response to the external force.

The response to the force is given as:

$$x(t) = \frac{1}{m(\omega_0^2 - \omega^2 - i\gamma\omega)} F_0 e^{-i\omega t}$$

We can again look at the amplitude of the oscillator and the phase between the oscillator and the driving force.



which no behavior smooth.

In order to look at the absorption we can look at the energy in the oscillator.

$$E_{kin} = \frac{1}{2} m \left(\frac{\partial}{\partial t} \text{Re} [x(t)] \right)^2$$

$$E_{pot} = \frac{1}{2} k \left(\text{Re} [x(t)] \right)^2$$

$$E_{tot} = E_{kin} + E_{pot}$$

which oscillates, but once averaged over one period $2\pi/\omega$ is constant.

The work done by the μ -field is

$$P = F \frac{\partial}{\partial t} \text{Re} [x(t)]$$

and the time averaged work yields

$$\frac{\int_0^{2\pi/\omega} P dt}{2\pi/\omega} = \frac{F_0^2 \gamma \omega^2}{2m(\gamma^2 \omega^2 + (\omega^2 - \omega_0^2)^2)}$$

The intermediate results can be seen in the online notes

(19)

The energy in the system oscillates, but once averaged over one cycle is constant.

The field constantly provides energy, but this is dissipated by the term $-m\gamma \dot{x}(t)$

We now can define the response functions

we have $x = \frac{1}{m(\omega_0^2 - \omega^2 - i\gamma\omega)} F$

we define the susceptibility χ

$$\chi(\omega) = \frac{1}{m(\omega_0^2 - \omega^2 - i\gamma\omega)}$$

such that $x = \chi(\omega) F$

The susceptibility relates the induced dipole moment (ex) to the external force (~~or~~ Electric field)

The conductivity relates the current \Rightarrow velocity to the external force. (field)

$$x' = \sigma(\omega) F$$

with $F = F_0 e^{-i\omega t}$ and $\frac{\partial F}{\partial t} = -i\omega F$

we have

$$\sigma(\omega) = -i\omega \chi(\omega)$$

Absorption is given by the movement of the electron against the force.

Being careful with our complex variables introduced to make the math easier we have that the actual force is $\text{Re}[F]$

the absorption thus is $\text{Re}[F] \text{Re}[\sigma] \text{Re}[F]$

averaged over a full cycle

$$\text{Re}[\sigma] = - \frac{\gamma^2 \omega^2}{m(\gamma^2 m^2 + (\omega_0^2 - \omega^2)^2)}$$

and the field strength is

$$\frac{\int_0^{2\pi/\omega} (F_0 \cos \omega t)^2 dt}{\int_0^{2\pi/\omega} dt} = \frac{F_0^2}{2}$$

The acceleration of electrons produces radiation with is given by the scattering length

$$x'' = f(\omega) F$$

$$\text{and } f(\omega) = -i\omega \sigma(\omega) = -\omega^2 \chi(\omega)$$

Warning: these 3 response functions have about ~200 years of history in different sub-fields

(scattering Poragy / Lore)

(polarizability Maxwell)

(optics
conductivity Newton)

This has lead to different phrases used

$$f(\omega) = \omega \sigma(\omega) = \omega^2 \chi(\omega)$$

and of sets

$$D = (1 + \chi) E \quad \text{instead of } P = \chi E$$

On Friday we'll derive the response

functions for quantum systems, but let us

rewrite $\chi(\omega)$ slightly to get a

form one might recognize

$$\begin{aligned} \chi(\omega) &= \frac{1}{2m} \frac{2}{\omega_0^2 - \omega^2 - i\gamma\omega} \\ &\approx \frac{1}{2m} \frac{1}{\omega_0} \left(\frac{1}{\omega + i\gamma/2 - \omega_0} - \frac{1}{\omega + i\gamma/2 + \omega_0} \right) \\ &= \frac{1}{2m} \frac{2}{\omega_0^2 - \omega^2 - i\gamma\omega + \underbrace{(\gamma/2)^2}_m} \end{aligned}$$

The susceptibility has two poles. one at $\omega = \omega_0 - i\gamma/2$ and one at $\omega = -\omega_0 - i\gamma/2$